

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER MTS-3576US
INTERNATIONAL APPLICATION NO. PCT/JP2003/017015		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/542,409
INTERNATIONAL FILING DATE 26.12.2003 (26 Dec 2003)		PRIORITY DATE CLAIMED 15.01.2003 (15 January 2003)
TITLE OF INVENTION BROADCAST RECEIVING METHOD, BROADCAST RECEIVING SYSTEM, RECORDING MEDIUM, AND PROGRAM (as amended)		
APPLICANT(S) FOR DO/EO/US Yumiko KATO, Takahiro KAMAI, Hideyuki YOSHIDA and Yoshifumi HIROSE		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Certificate of Express Mail; PTO-2038; Request to Replace Form PTO-1595; Corrected PTO-1595; Copy of Filed PTO-1595 and Assignment; Copy of Filed PTO-1390; Return Receipt Postcard

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/542,409		INTERNATIONAL APPLICATION NO. PCT/JP2003/017015		ATTORNEY'S DOCKET NUMBER MTS-3576US	
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$	
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 All other situations..... \$200				\$	
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US, and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500				\$	
TOTAL OF 21, 22 and 23 =				\$	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) RATE					
- 100 = /50 = x \$250				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	49 - 20 =	29	x \$ 50	\$ 1,450	
Independent claims	12 - 4 =	8	x \$200	\$ 1,600	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 3,050	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 3,050	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
TOTAL NATIONAL FEE =				\$ 3,050	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$ 3,050	
02/15/2006 MKAYPAGH 00000147 10542409					
01 FC:1615 1450.00 OP 02 FC:1614 1600.00 OP				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed.					
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
<input checked="" type="checkbox"/> Customer Number <u>52473</u>					
OR					
<input checked="" type="checkbox"/> Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482					
<input type="checkbox"/> Ratner Prestia, P.O. Box 1596, Wilmington, DE 19899					
Date : <u>February 10, 2006</u>					
				 SIGNATURE Daniel N. Calder NAME 27,424 REGISTRATION NUMBER	

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant: Yumiko Kato, et al.

Docket No.

MTS-3576US

Application No.

10/542,409

Filing Date

July 14, 2005

Examiner

To Be Assigned

Group Art Unit

3713

Invention:BROADCAST RECEIVING METHOD, BROADCAST RECEIVING
SYSTEM, RECORDING MEDIUM, AND PROGRAM (as amended)

I hereby certify that the following correspondence:

Supplemental Preliminary Amendment and Request To Replace Form PTO-1595, with PTO-1390 and
its enclosures.*(Identify type of correspondence)*Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to Mail Stop PCT, Commissioner for Patents, Alexandria, VA 22313-1450
on February 10, 2006.Kathleen Libby*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EV 547 592 097 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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TITLE OF INVENTION BROADCAST RECEIVING METHOD, BROADCAST RECEIVING SYSTEM, RECORDING MEDIUM AND PROGRAM (AS AMENDED)		PRIORITY DATE CLAIMED 15.01.2003 (15 January 2003)
APPLICANT(S) FOR DO/EO/US Yumiko KATO, Takahiro KAMAI, Hideyuki YOSHIDA and Yoshifumi HIROSE		

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The following fees have been submitted 21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300 22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 All other situations..... \$200 23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US. and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500 TOTAL OF 21, 22 and 23 =		CALCULATIONS PTO USE ONLY <div style="font-size: 4em; transform: rotate(-15deg); opacity: 0.5; position: absolute; top: 50%; left: 50%;">COPY</div> \$ 300 \$ 200 \$ 400 \$ 900
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) RATE 181 - 100 = 81 /50 = 2 x \$250		\$ 500
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	16 - 20 =	0
Independent claims	4 - 3 =	1
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360
TOTAL OF ABOVE CALCULATIONS =		\$ 1,960
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		
SUBTOTAL =		\$ 1,960
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		\$
TOTAL NATIONAL FEE =		\$ 1,960
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TOTAL FEES ENCLOSED =		\$ 2,000
		Amount to be refunded: \$ Amount to be charged: \$ 2,000
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.		
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SEND ALL CORRESPONDENCE TO: <input checked="" type="checkbox"/> Customer Number <u>52473</u> OR <input type="checkbox"/> Rather Prestia, P.O. Box 980, Valley Forge, PA 19482 <input type="checkbox"/> Rather Prestia, P.O. Box 1596, Wilmington, DE 19899 Date: <u>July 14, 2005</u>		
		Daniel N. Calder SIGNATURE Daniel N. Calder NAME 27,424 REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/542,409
Applicant: Yumiko Kato, et al.
Filed: July 14, 2005
Title: BROADCAST RECEIVING METHOD, BROADCAST RECEIVING
SYSTEM, RECORDING MEDIUM AND PROGRAM (as amended)
TC/A.U.: 3713
Examiner: To Be Assigned
Confirmation No.: 1733
Docket No.: MTS-3576US

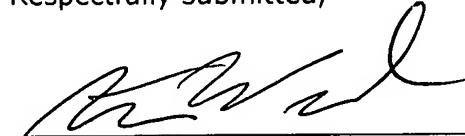
REQUEST TO REPLACE FORM PTO-1595

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R :

Applicants respectfully request that the USPTO accept the enclosed Corrected Recordation Form Cover Sheet (PTO-1595), as replacement for the Recordation Form Cover Sheet filed on July 14, 2005. Enclosed is the originally filed Recordation Form Cover Sheet and PTO-1390, verifying prior payment of the required recordation fee.

Respectfully submitted,



Daniel N. Calder, Reg. No. 27,424
Stephen J. Weed, Reg. No. 45,202
Attorneys for Applicants

DNC/SJW/dmw
Dated: February 10, 2006
Enclosures: Copy/PTO-1595
Copy/PTO-1390

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

EXPRESS MAIL: Mailing Label No.: EV 547 592 097 US
Date of Deposit: February 10, 2006

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



KATHLEEN LIBBY